

Puma AIM Inheritance Tax Service

The Puma AIM Inheritance Tax Service is a discretionary portfolio service that seeks to deliver long-term growth and mitigate Inheritance Tax (IHT) by investing in a carefully selected portfolio of Business Relief (BR) qualifying Alternative Investment Market (AIM) shares.

The Service



Focus on defensive growth

Investments selected on a strict valuation criteria with a focus on defensive growth



Inheritance Tax¹

The investment is intended to benefit from IHT relief after a two year holding period



Experienced Team

Led by Investment Director with over 20 years of experience in small and mid-cap companies



Available in ISAs

Investing in a portfolio of qualifying AIM stocks allows holders to mitigate IHT while retaining the benefits of an ISA



Year History

Puma Investments is part of the Shore Capital Group established in 1985

A Focused Investment Strategy

Manager: Puma Investments is part of Shore Capital, a company with over 35 years of history. The team is led by Dr Stuart Rollason, an experienced small and mid-cap fund manager with over 20 years in the industry.

Quality Companies: We seek to invest in quality companies with strong margins, good returns and a track record of cash generation.

Research Driven: We select companies on strict valuation criteria with a focus on defensive growth. Our approach is research driven with detailed financial analysis.

Portfolio Construction: Targeting approximately 20-35 companies with market capitalisations in excess of £50 million and low portfolio turnover.

Platform Access

Financial Advisers can access the Puma AIM IHT Service via the following Wrap Platforms:



¹ Tax reliefs depend on the individual investor's circumstances and may be subject to change.



PUMA AIM
INHERITANCE
TAX SERVICE
Calculated Excellence

AVAILABLE IN ISAs

MINIMUM SUBSCRIPTION

£20k

INTENDED IHT RELIEF¹

2 years

AWARD WINNING

GROWTH
INVESTOR
2019 | AWARDS | FINALIST
BEST BR
INVESTMENT
MANAGER - LISTED

GROWTH
INVESTOR
2018 | AWARDS | FINALIST
BEST BR
INVESTMENT
MANAGER AIM

GROWTH
INVESTOR
2017 | AWARDS | WINNER
BEST AIM
INVESTMENT
MANAGER

Investment Director

Dr Stuart Rollason



Dr Stuart Rollason is a highly experienced small and mid-cap fund manager with over 20 years in the industry. He joined Puma from Kestrel Partners LLP, where he led their AIM IHT service for a decade. Previously, he managed a UK smaller company investment trust at Bluehone and £230m of UK smaller company pension assets at ISIS Asset Management.

Fees and Expenses

| | Direct with Puma | Through a Platform ¹ |
|------------------------------|--|--|
| Initial fee | 1% (of amount subscribed) | No initial fee charged to investors accessing the service through a Platform |
| Annual management fee | 1.25% + VAT (of portfolio value) | 1.25% + VAT (of portfolio value) ² |
| Dealing fee | 1% (applied to purchase or sale of stocks) | Platform and dealing fees may vary across Platforms |

¹Other Platform fees may apply.

²Fees may be adjustable to the closest value to reflect the relevant Platform's rounding up policy.

SHORE CAPITAL

Puma Investments is a member of the Shore Capital Group, an independent investment group with over £1.3bn of assets under management.

Shore Capital – Experts in AIM



Long History

Shore Capital has been involved with AIM since its inception and acts as both broker and corporate finance adviser to companies quoted on AIM



Market-Making

Shore Capital makes a market in 1,200 stocks and is one of the top three market-makers on AIM by volume of stocks



Research

Shore Capital's highly regarded research team produce research on a wide range of AIM quoted companies



AIM

Over £2.6bn raised for AIM companies since January 2014

Figures taken as at May 2021

Risk Factors

An investment in the Puma AIM Inheritance Tax Service may not be suitable for all investors. An investment in the Service carries risk and you should take your own independent advice. You should only invest in the Service on the basis of the Investment Details and Investor Agreement which details the risks of the investment. Below are the key risks of the Service:

Past Performance: Past performance is no indication of future results and share prices and their values can go down as well as up.

Tax Reliefs are not Guaranteed: Tax reliefs depend on individuals' personal circumstances, minimum holding periods and may be subject to change.

You May Lose Money: An investment in smaller companies is likely to be higher risk than other investments. Investors' capital may be at risk and Investors may get back less than their original investment.

Long-Term Investment: An investment in the Service should be considered a long-term investment.

Potentially Illiquid Investment: AIM stocks are largely small and illiquid. They are characterised by significant spreads and low trading volumes. It may prove difficult for Investors to realise immediately or in full proceeds from the sale of such shares.



PUMA INVESTMENTS

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