

# Puma Investments' VCT Track Record



PUMA ALPHA VCT

**Puma Investments was originally created to manage VCTs and they remain at the core of our business. Puma Alpha VCT is the culmination of more than two decades investing in the private equity space and is both our 14th VCT and the 26th Puma fund.**

Across the Puma business, our funds have a relatively narrow breadth — investing either in private equity, real estate or listed securities — which means we've developed deep expertise in these areas. Our private equity division manages both Puma Alpha VCT and Puma Alpha EIS, which was launched in 2017 and has the same investment mandate. With Puma Alpha VCT, we're able to merge our Alpha EIS experience of investing in higher-growth companies with our expertise in managing VCTs.

To date, the Puma VCTs and EISs have invested more than £235 million into 65 companies. Currently, the investment team manages a portfolio of 20 companies across eight sectors, accounting for £92.5 million of invested capital.

Below and overleaf is a snapshot of some of the current and exited portfolio companies that have received investment from Puma VCT and EIS funds.



**Cadbury House Hotel**  
£8.2m  
December 2005  
Leisure  
**Exited**



**Stocklight**  
£3m  
December 2006  
Retail  
**Exited**



**Traffic Broker**  
£5m  
December 2009  
Technology  
**Exited**



**SIP Communications**  
£1.4m  
March 2012  
Telecoms  
**Exited**



**Brewhouse & Kitchen Brand**  
£20.2m  
December 2012  
Food and beverage  
**Live**



**Gold Line**  
£2.2m  
November 2013  
Healthcare  
**Exited**



**Chinook Urban Mining**  
£5m  
July 2014  
Renewable energy tech  
**Exited**



**Opes Industries**  
£8.1m  
August 2014  
Recycling tech  
**Exited**



**Mini Rainbows**  
£5m  
November 2015  
Early years education  
**Live**



### Welcome Health

£5m  
November 2015  
Pharmacies  
**Exited**



### Rosebourne

£8.2m  
September 2016  
Garden centres  
**Live**



### Pure Cremation

£7.4m  
November 2017  
End of life services  
**Live**



### Applebarn

£2.3m  
October 2017  
Early years education  
**Live**



### Sunlight Education Nucleus

£4.7m  
November 2017  
Special needs education  
**Live**



### NRG

£5m  
March 2018  
Health and fitness  
**Live**



### Le Col

£4.85m  
October 2018  
Sports apparel  
**Live**



### Dymag Group

£4.8m  
December 2018  
Automotive  
**Live**



### Open House

£5m  
February 2019  
Pubs & Restaurants  
**Live**



### Influencer

£3m  
August 2019  
Influencer marketing  
**Live**



### MyKindaFuture

£2.7m  
August 2019  
Human Resources  
Technology  
**Live**



### Tictrac

£5m  
March 2020  
Health engagement platform  
**Live**

## Risk Factors

You should invest through a Financial Adviser who has assessed that a subscription is suitable for you. An investment in either Puma Alpha EIS or Puma Alpha VCT carries risk. The following is intended as a high level summary of the key risks. Prior to investing you should read the full and detailed explanation of the risks pertaining to each offer which is set out in the Prospectus for Puma Alpha VCT or the Investment Details for Puma Alpha EIS.

### General

Past performance is no indication of future results and share prices and their values can go down as well as up. The forecasts in the document are not a reliable guide to future performance. The payment of dividends is not guaranteed. In respect of certain offers, investors might not be able to take action against Puma Investments and access the Financial Ombudsman Service and the Financial Services Compensation Scheme.

### Capital at risk

An investment can be viewed as high risk. Investors' capital may be at risk and investors may get back less than their original investment.

### Tax reliefs

Tax reliefs are not guaranteed, depend on individuals' personal circumstances, and minimum holding periods, and may be subject to change.

### Liquidity

It is unlikely there will be a liquid market in the shares of the EIS portfolio companies or in the ordinary shares of Puma Alpha VCT and it may prove difficult for investors to realise their investment immediately or in full.

## For further information please contact



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